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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/602,853	
	Filing Date	June 24, 2003	
	First Named Inventor	Yung-Nien Chang	
	Art Unit	1632	
	Examiner Name	Dave T. Nguyen	
Total Number of Pages in This Submission	14	Attorney Docket Number	GTI 003.1 US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Copy of Notice of Recordation of Assignment Docs; Copy of the recently filed Assignment; Statement
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Linda R. Judge, Registration No. 42,702
Signature	
Date	2/5/04

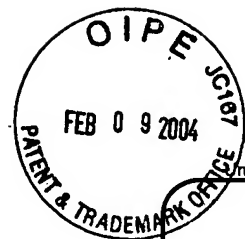
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Maria Chuakay		
Signature		Date	2/5/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/602,853
Filing Date	June 24, 2003
First Named Inventor	Yung-Nien Chang
Art Unit	1632
Examiner Name	Dave T. Nguyen
Attorney Docket Number	GTI 003.1 US

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 30539 and 24353

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

24353

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Robert H. Tidwell, Senior Vice President

Signature *Robert H. Tidwell*

Date 2-5-04

Telephone (650) 266-3053

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 3 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Yung-Nien Chang, Paul L. Hallenbeck, Carl M. Hay, David A. StewartApplication No./Patent No.: 10/602,853 Filed/Issue Date: June 24, 2003Entitled: Vectors for Tissue-Specific Replication and Gene ExpressionCell Genesys, Inc. \_\_\_\_\_, a Delaware

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Chang, Hallenbeck, Hay, Stewart To: Genetic Therapy, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 9198, Frame 0170, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1-26-04  
Date(650) 266-3053

Telephone number

Robert H. Tidwell

Typed or printed name

Signature

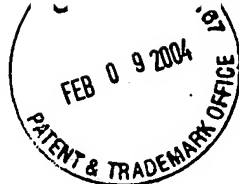
Senior Vice President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JULY 31, 1998



ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20230

PERNE; KESSLER, GOLDSTEIN ET AL  
ERIAN J. DEL BUONO  
100 NEW YORK AVE., N.W.  
SUITE 600  
WASHINGTON, D.C. 20005-3934

PTAS  
**RECEIVE**

AUG - 4 1998



\*100725882A\*

STEPHEN KESSLER, GOLDSTEIN & FOX PLLC

BJP

ISA 8/10

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
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CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/18/1998

REEL/FRAME: 9198/0170  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHANG, YUNG-NIEN

DOC DATE: 05/15/1998

ASSIGNOR:  
HALLENBECK, PAUL L.

DOC DATE: 05/15/1998

ASSIGNOR:  
HAY, CARL M.

DOC DATE: 05/15/1998

ASSIGNOR:  
STEWART, DAVID A.

DOC DATE: 05/15/1998

ASSIGNEE:  
GENETIC THERAPY, INC.  
938 CLOPPER ROAD  
GAITHERSBURG, MARYLAND 20878

SERIAL NUMBER: 08974391  
PATENT NUMBER:

FILING DATE: 11/19/1997  
ISSUE DATE:

DLEY PYNE, PARALEGAL  
SIGNMENT DIVISION  
FICE OF PUBLIC RECORDS

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Form PTO-1595  
6-93

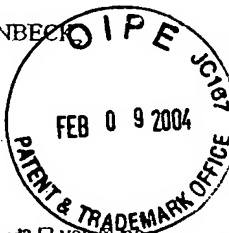
100725882

U.S. Department of Commerce  
Patent and Trademark Office

T

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Yung-Nien CHANG, Paul L. HALLENBECK  
Carl M. HAY, David A. STEWART



2. Name and address of receiving party(ies):

Name: Genetic Therapy, Inc.

Street Address: 938 Clopper Road

City: Gaithersburg State: Maryland Zip Code: 20878

Country: United States of America

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ yes ☒ no

Execution Date(s): May 15, 1998, as to all four

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 08/974,391

B. Patent No(s).

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

Internal Address: c/o

Street Address: 1100 New York Ave., N.W.  
Suite 600

City: Washington State: D.C. Zip Code: 20005-3934

6. Total number of applications and patents involved

1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account

8. Deposit Account Number: 19-0036

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian J. Del Buono

Name of Person Signing

Registration No. P-42,473

Signature

Date

May 18, 1998

Total number of pages including cover sheet, attachments and document 3

OMB NO. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

MRD 5-18-98

# ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: Yung-Nien CHANG, Paul L. HALLENBECK, Carl M. HAY and David A. STEWART, the undersigned hereby sell(s) and assign(s) to Genetic Therapy, Inc. (the Assignee) his/her entire right, title and interest

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. § 100),  
☒ and throughout the world,

in the invention known as Vectors for Tissue-Specific Replication and Gene Expression

for which application(s) for patent in the United States of America has (have) been executed by the undersigned on May 15, 1998 (also known as United States Application No. 08/974,391, filed November 19, 1997), in any and all applications thereon, in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations of such applications or Letters Patent(s) and divisional and continuation applications thereof, to the full end of the term or terms for which such Letters Patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee to the same extent as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference that may be declared concerning the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby represents that the undersigned has full right to convey the entire interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Robert Greene Sterne, Esquire, Registration No. 28,912, Edward J. Kessler, Esquire, Registration No. 25,688, Jorge A. Goldstein, Esquire, Registration No. 29,021, Samuel L. Fox, Esquire, Registration No. 30,353, David K.S. Cornwell, Esquire, Registration No. 31,944, Robert W. Esmond, Esquire, Registration No. 32,893, Tracy-Gene G. Durkin, Esquire, Registration No. 32,831, Michele A. Cimbal, Esquire, Registration No. 33,851, Michael B. Ray, Esquire, Registration No. 33,997, Robert E. Sokohl, Esquire, Registration No. 36,013, Eric K. Steffe, Registration No. 36,688, and Michael Q. Lee, Registration No. 35,239, of STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C., 1100 New York Avenue, N.W., Suite 600, Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned on the date(s) opposite their name(s).

Date: <u>5/15/98</u>	Signature of Inventor: <u>Yung-Nien Chang</u>
Date: <u>5/15/98</u>	Signature of Inventor: <u>Paul L. HALLENBECK</u>
Date: <u>5-15-98</u>	Signature of Inventor: <u>Carl M. HAY</u>
Date: <u>May 15, 1998</u>	Signature of Inventor: <u>David A. STEWART</u>
Date: _____	Signature of Inventor: _____
Date: _____	Signature of Inventor: _____
Date: _____	Signature of Inventor: _____

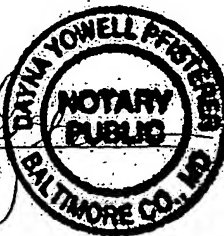
State of Maryland )

County of Montgomery )

ss:

Before me this 15<sup>th</sup> day of May, 1998, personally appeared the above-named individual(s), to me known to be the person(s) who are described in and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

*Debra Yowell*  
Notary Public



DEBRA YOWELL PFISTERER  
Notary Public, State of Maryland  
County of Montgomery  
My Commission Expires February 8, 2002



Date Mailed: November 25, 2003 | Atty/Sec: LRJ/mc | Filing Date: Please see attached.

Application No.: Please see attached. | Docket No.: GTI-General

Applicant(s): Please see attached.

Title: PLEASE SEE ATTACHED.

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

- |  |  |
|--|--|
| <input type="checkbox"/> ___ pages of Specification, Claims & Abstract | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). |
| <input type="checkbox"/> ___ sheets of formal drawings                 | <input type="checkbox"/> Response to Notice Of Missing Parts                     |
| <input type="checkbox"/> Provisional Application Cover Sheet           | <input type="checkbox"/> Request to Correct Filing Receipt                       |
| <input type="checkbox"/> New Utility Application Transmittal           | <input type="checkbox"/> Request for Correction of Recorded Assignment           |
| <input type="checkbox"/> Transmittal                                   | <input type="checkbox"/> IDS, PTO-1449, and cited references                     |
| <input type="checkbox"/> Fee Transmittal (in duplicate)                | <input type="checkbox"/> Issue Fee Transmittal                                   |
| <input type="checkbox"/> Declaration (unsigned)                        | <input type="checkbox"/> Formal Drawings: ___ sheets                             |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet          | <input type="checkbox"/> Request for Certificate of Correction                   |
| <input type="checkbox"/> CPA Request Transmittal                       | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Application Data Sheet                        | <input type="checkbox"/> Express Mail No.:                                       |
| <input type="checkbox"/> Check in the amount of \$                     |  |
| <input type="checkbox"/> Amendment/Response                            |  |

Other: PTO-1595, Assignments, Postcard and Exhibit A

Date Mailed: November 25, 2003 | Atty/Sec: LRJ/mc | Filing Date: Please see attached.

Application No.: Please see attached. | Docket No.: GTI-General

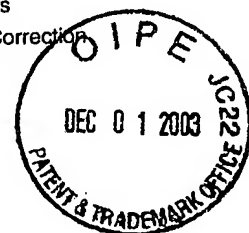
Applicant(s): Please see attached.

Title: PLEASE SEE ATTACHED.

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|--|--|
| <input type="checkbox"/> ___ pages of Specification, Claims & Abstract | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). |
| <input type="checkbox"/> ___ sheets of formal drawings                 | <input type="checkbox"/> Response to Notice Of Missing Parts                     |
| <input type="checkbox"/> Provisional Application Cover Sheet           | <input type="checkbox"/> Request to Correct Filing Receipt                       |
| <input type="checkbox"/> New Utility Application Transmittal           | <input type="checkbox"/> Request for Correction of Recorded Assignment           |
| <input type="checkbox"/> Transmittal                                   | <input type="checkbox"/> IDS, PTO-1449, and cited references                     |
| <input type="checkbox"/> Fee Transmittal (in duplicate)                | <input type="checkbox"/> Issue Fee Transmittal                                   |
| <input type="checkbox"/> Declaration (unsigned)                        | <input type="checkbox"/> Formal Drawings: ___ sheets                             |
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| <input type="checkbox"/> CPA Request Transmittal                       | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Application Data Sheet                        | <input type="checkbox"/> Express Mail No.:                                       |
| <input type="checkbox"/> Check in the amount of \$                     |  |
| <input type="checkbox"/> Amendment/Response                            |  |

Other: PTO-1595, Assignments, Postcard and Exhibit A





PTO/SB/21 (08-03)  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

27

Application Number

Please See Attached

Filing Date

Please See Attached

First Named Inventor

Please See Attached

Art Unit

Please See Attached

Examiner Name

Please See Attached

Attorney Docket Number

GTI - General

### ENCLOSURES (Check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   | PTO-1595, Assignments, Postcard and Exhibit A   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name  
Linda R. Judge, Registration No. 42,702  
Cell Genesys, Inc.

Signature

Date

11/25/03

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Maria Chuakay

Signature

Date

11/25/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2006)

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## RECORDATION FORM COVER SHEET

## PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Genetic Therapy, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

10/1-2/03

Execution Date: \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: Cell Genesys, Inc.

Internal Address: \_\_\_\_\_

Street Address: 500 Forbes Blvd.

City: SSF State: CA Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s) See attached

B. Patent No.(s) See attached

Additional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cell Genesys, Inc.

Internal Address: Intellectual Property

Street Address: 500 Forbes Blvd.

City: SSF State: CA Zip: 94080

## 6. Total number of applications and patents involved: 15

7. Total fee (37 CFR 3.41).....\$ 600.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number:

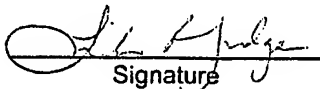
031184

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## 9. Signature.

Linda R. Judge, Esq., Reg. No. 42,702

Name of Person Signing

  
Signature

11/25/02

Date

Total number of pages including cover sheet, attachments, and documents: 27

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



EXHIBIT A  
ASSIGNED APPLICATIONS/PATENTS

DOCKET NUMBER	SERIAL/PATENT NUMBER	FILING/ISSUE DATE	STATUS
GTI 002.0US	08/348,258	11/28/1994	ABANDONED
GTI 002.1US	08/487,992	06/07/1995	ABANDONED
GTI 002.2US	5,998,205	12/07/1999	ISSUED
GTI 002.3US	6,551,587	04/22/2003	ISSUED
GTI 002.4US	10/323,425	12/19/2002	PENDING
GTI 002.5US	10/323,955	12/19/2002	PENDING
GTI 002.6US	10/323,984	12/19/2002	PENDING
GTI 003.0US	08/974,391	11/19/1997	ISSUED
GTI 003.1US	10/602,853	06/24/2003	PENDING
GTI 004.0US	60/270,922	02/23/2001	ABANDONED
GTI 004.1US	60/295,037	06/01/2001	ABANDONED
GTI 004.2US	60/348,670	01/14/2002	ABANDONED
GTI 004.3US	10/081,969	02/22/2002	PENDING
GTI 005.0US	60/270,885	02/23/2001	ABANDONED
GTI 005.1US	10/081,961	02/22/2002	PENDING

## ASSIGNMENT

Genetic Therapy, Inc., a corporation organized under the laws of the State of Delaware, with a principal business address of 9 West Watkins Mill Road, Gaithersburg, Maryland 20878, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, does hereby assign to **CELL GENESYS, INC.**, a corporation organized under the laws of the State of Delaware, with a principal business address of 500 Forbes Boulevard, South San Francisco, California 94080, (hereinafter Assignee), its successors, assigns and legal representatives, all its right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to it by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention described in the following:

U.S. Patent Application No. 10/602,853, filed June 24, 2003

and in and to all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including the right to claim for any such foreign applications and U.S. applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise, and, and in and to, all Letters Patent to be obtained for said subject matter by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(b) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent.

GENETIC THERAPY, INC.

Dated: 1 Oct 2003

By Theresa M. Stevens  
Theresa M. Stevens  
Executive Director  
Business Development and Licensing

STATE OF MARYLAND                     )  
  ) SS:  
COUNTY OF MONTGOMERY            )

On Oct. 1, 2003, before me, the undersigned, a Notary Public in and for the State of Maryland, personally appeared Theresa M. Stevens personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity and that by her signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.

Marc Russell  
Notary Public

My Commission expires: 8/1/2006